124635.1 **PATENT**



UMICORE 0155-US

FW

IN THE MINITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

ZUBER et al.

Examiner:

To be assigned

Serial No.:

10/564,794

Group Art Unit:

To be assigned

Filed:

January 13, 2006

For:

Membrane Electrode Assembly For Use In Electrochemical Devices

Customer No.:

23719

Kalow & Springut LLP

488 Madison Avenue, 19th Floor New York, New York 10022

July 26, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08A and B. Applicants do not admit that the references are "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such references. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information of which they are aware and believe relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box

1450, Alexandria, VA 22313-1450.

(Signature)

(Printed Name of Person Signing Certificate

16026,2006

Applicant: ZUBER, et al. Serial No.: 10/564,794 Filed: January 13, 2006 Information Disclosure Statement July 26, 2006 Page 2 of 4



I. U.S. PATENT DOCUMENTS

Patent No.	Date Issued	Title
4,555,324 to UENO, et al.	May 7, 1984	Porous Gas Diffusion Electrode and Method of Producing Same
4,652,502 to BREAULT, et al.	March 24, 1987	Electrochemical Cell and Method for Making the Porous Plate
5,176,966 to EPP, et al.	January 5, 1993	Fuel Cell Membrane Electrode and Seal Assembly
5187025 to KELLAND, et al.	February 16, 1993	Unitized fuel cell structure
5,264,299 to KRASIJ, et al.	November 23, 1993	Proton Exchange Membrane Fuel
		Cell Support Plate and an Assembly Including the Same
5,464,700 to, STECK, et al	November 7, 1995	Gasketed Membrane Electrode Assembly for Electrochemical Fuel Cells
5,858,569 to MEACHER, et al.	January 12, 1999	Low Cost Fuel Cells Stack Design
5,945,192 to KATO, et al.	August 31, 1999	Sealing Assembly for a Solid Polymer Ion Exchange Membrane
6,020,083 to BREAULT, et al.	February 1, 2000	Membrane Electrode Assembly for PEM Fuel Cell
6,057,054 to BARTON, et al.	May 2, 2000	Membrane Electrode Assembly for an Electrochemical Fuel Cell and a Method of Making an Improved Membrane Electrode
6,159,628 to GRASSO, et al.	December 12, 2000	Use of Thermoplastic Films to Create Seals and Bond PEM Cell Components
6197147 B to BONSEL, et al.	March 6, 2001	Process for continuous production of membrane-electrode composites
6245454 B to GOCHO, et al.	June 12, 2001	Fuel cell and method of installing linings on gas manifolds of fuel cell

Applicant: ZUBER, et al. Serial No.: 10/564,794 Filed: January 13, 2006

Information Disclosure Statement

July 2**6**, 2006 Page 3 of 4



II. U.S. PATENT APPLICATION PUBLICATION

Publication No.	Date Issued	Title
2002/079235 A1 to MOLTER, et al.	January 27, 2002	Method for electrolysis of water using a polytetrafluoroethylene supported membraine in electrolysis cells

III. FOREIGN PATENT DOCUMENTS

Publication No.	Country	Publication Date
EP 1 289 042 A2	EP	05 March 2003
EP 1 289 042 A3	EP	16 March 2005
EP 0 951 086 B	EP	05 January 2005
EP 0 951 086 A2	EP	20 October 1999
EP 0 951 086 A3	EP	17 October 2001
JP 09 289028 A JPO Abstr.	JP	04 November 1997
JP 11 045729 A JPO Abstr.	JP	16 February 1999
JP 09 063622 A JPO Abstr.	JP	07 March 1997

IV. NON PATENT PUBLICATIONS

International Search Report for PCT/EP2004/007802 dated 07 March 2006. (2 pages)

International Search Report for PCT/EP2004/007794 dated 19 September 2005. (3 pages)

Applicant: ZUBER, et al. Serial No.: 10/564,794 Filed: January 13, 2006

Information Disclosure Statement

July 2**6**, 2006 Page 4 of 4

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,

John J. Santalone

Registration No.: 32,794 Attorney for Applicant

Kalow & Springut LLP (212) 813-1600

PTO/SB/08A (08-03) JUL 3 1 2006 Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

on Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number

Filing Date

Complete if Known

10/564,794

INFORMATION DISCLOSURE

January 13, 2006 First Named Inventor ZUBER, Ralf STATEMENT BY APPLICANT Art Unit To be assigned (Use as many sheets as necessary) **Examiner Name** To be assigned Attorney Docket Number UMICORE 0155-US of Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code ^{2 (f known)}			1192.557 \$455.
	<u> </u>	^{US-} 4,555,324	05-071984	UENO, et al.	
		^{US-} 4,652,502	03-24-1987	BREAULT, et al.	
		^{US-} 5,176,966	01-05-1993	EPP, et al.	
		^{US-} 5187025	02-16-1993	KELLAND, et al.	
		^{US-} 5,264,299	11-23-1993	KRASIJ, et al.	
		^{US-} 5,464,700	11-07-1995	STECK, et al.	
		^{US-} 5,858,569	01-12-1999	MEACHER, et al	
		^{US-} 5,945,192	08-31-1999	KATO, et al.	
		^{US-} 6,020,083	02-01-2000	BREAULT, et al.	
		^{US-} 6.057.054	05-02-2000	BARTON, et al.	
·		^{US-} 6,159,628	12-12-2000	Grasso, et al.	
		^{US-} 6197147	03-06-2001	BONSEL, et al.	
		^{US-} 6245454	06-12-2001	GOCHO, et al.	
		^{US-} 2002/079235	01-27-2002	MOLTER, et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 "} Number ^{4 "} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		EP 1 289 042 A2	03-05-2003	Honda Giken		
		EP 1 289 042 A3	03-05-2003	Honda Giken		
		EP 0 951 086 B	01-05-2005	Matsushita		
		EP 0 951 086 A2	10-20-1999	Matsushita		
	<u> </u>	EP 0 951 086 A3	10-17-2001	Matsushita		
	l	JP 09 289028 A JPO Abstr.	11-04-1997	Tanaka		

Examiner		Date	
Signature	l c	Considered	
(

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

JUL 3 1 2006

(Use as many sheets as necessary)

of

Cor	Complete if Known		
Application Number	10/564,794		
Filing Date	January 13, 2006		
First Named Inventor	ZUBER, Ralf		
Art Unit	To be assigned		
Examiner Name	To be assigned		
Attorney Docket Number	UMICORE 0155-US		

Examiner	Cite	Document Number	U. S. PATENT DO Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No. ¹	Number-Kind Code ² (If known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	<u> </u>	US-		- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	
		US-			
	1.	US-			***
		US-			
-		US-			
		US-			
		US-			
		US-			
		US-			

Examiner Cite		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ² "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
	JP 11 045729 A JPO Abstr.	02-16-1999	FUJI Electric		
	JP 09 063622 A JPO Abstr.	03-07-1997	Mitsubishi		
	- A				L
				ļ	H
			· · · · · · · · · · · · · · · · · · ·		┡
	NO.	Country Code ² Number ⁴ Kind Code ⁵ (if known) JP 11 045729 A JPO Abstr.	Country Code ² Number ⁴ Kind Code ⁵ (if known) JP 11 045729 A JPO Abstr. 02-16-1999	Country Code ² Number ⁴ Kind Code ⁵ (# known) JP 11 045729 A JPO Abstr. 02-16-1999 FUJI Electric	Country Code ² Number ⁴ Kind Code ⁵ (if known) MM-DD-YYYY Or Relevant Figures Appear D2-16-1999 FUJI Electric

Examiner		Date Considered	
Signature	1 '	Jonstuered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

JUL 3 1 2006 Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE sons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Res Complete if Known Substitute for form 1449/PTO INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 3 of

	Complete in Tallonin	1
Application Number	10/564,794	
Filing Date	January 13, 2006	
First Named Inventor	ZUBER, Ralf	
Art Unit	To be assigned	
Examiner Name	To be assigned	
Attorney Docket Number	UMICORE 0155-US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	i.	International Search Report for PCT/EP2004/007802 dated 07 March 2006. (2 pages)	
		International Search Report for PCT/EP2004/007794 dated 19 September 2005. (3 pages)	
			
			. = 0.0
	_		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.